

In re application of: Coffman et al.

Serial No.: 09/374,374

Group: Art Unit 3661

Filed: August 13, 1999

Examiner: Angela A. Armstrong

## METHOD FOR DETERMINING AND MAINTAINING DIALOG FOCUS IN A CONVERSATIONAL SPEECH SYSTEM

Assistant Commissioner for Patents  
Washington, D.C. 20231COPY OF PAPERS  
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## AMENDMENT TRANSMITTAL FORM

Sir:

Transmitted herewith is an amendment in the above-identified application.

- [ ] Small entity status of this application under 37 C.F.R. § 1.9 and 1.27 has been established by a verified statement previously submitted.
- [ ] A verified statement to establish small entity under 37 C.F.R. § 1.9 and 1.27 is enclosed.
- [X] No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1)	(Col. 2)	(Col. 3)	SMALL ENTITY	OTHER THAN SMALL ENTITY
CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	ADDIT. RATE FEE	ADDIT. RATE FEE	
TOTAL	25 * MINUS 25 **	= 0	X 9 \$	X 18 \$	0
INDEP.	3 * MINUS 3 ***	= 0	X 42 \$	X 84 \$	0
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM			X 140 \$	X 280 \$	
TOTAL			OR TOTAL \$ 0		

## ADDIT. FEE \$0

- \* If the entry in Co. 1 is less than entry in Col. 2, write "0" in Col. 3.
- \*\* If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20".
- \*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".
- The Highest No. Previously Paid For (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

[ ] Please charge Deposit Account No. 50-0510/IBM (Yorktown Heights) in the amount of \$\_\_\_\_. Two (2) copies of this sheet are enclosed.

[ ] A check in the amount of \$\_\_\_\_ is enclosed.

[X] Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. § 1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 50-0510/IBM. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 50-0510/IBM therefor. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

Respectfully submitted,

F. CHAU & ASSOCIATES, LLP  
1900 Hempstead Turnpike  
Suite 501  
East Meadow, NY 11554  
(516) 357-0091

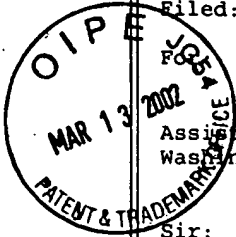
Frank V. DeRosa  
Reg. No. 43,584  
Attorney for Applicant

## CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231 on March 6, 2002.

Dated: 3/6/02

Frank V. DeRosa  
Frank V. DeRosa



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GROUP 3600

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17/Reconsideration  
09  
3/26/02  
**PATENT APPLICATION**



**THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Coffman et al.

**Examiner:** A. Armstrong

**Serial No.:** 09/374,374

**Group Art Unit:** 2641

**Filed:** August 13, 1999

**Docket:** YO999-276 (8728-299)

**For:** **METHOD FOR DETERMINING AND MAINTAINING  
DIALOG FOCUS IN A CONVERSATIONAL SPEECH SYSTEM**

Assistant Commissioner for Patents  
Washington, D.C. 20231

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**RESPONSE GROUP 3600**

In response to the Office Action dated December 6, 2001, Applicants respectfully request reconsideration of the claim rejections based on the following remarks.

**CERTIFICATE OF MAILING 37 C.F.R. § 1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 March 6, 2002.

Date: March 6, 2002

  
Frank DeRosa

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